



LEA 02	Discipline: Mandatory	Principle: PRI 1, 2, 3
<p>Reason for interaction</p> <p><input checked="" type="checkbox"/> To support investment performance (e.g. climate transition)</p> <p><input checked="" type="checkbox"/> To enhance corporate transition or identify the need to transition</p> <p><input checked="" type="checkbox"/> To engage investor ESG issues</p> <p><input checked="" type="checkbox"/> To enhance company ESG disclosure</p> <p><input type="checkbox"/> Other: specify</p> <p><input type="checkbox"/> We do not engage via internal staff</p> <p><input type="checkbox"/> To support investment decision-making in ESG issues</p> <p><input type="checkbox"/> To enhance company transition or identify the need to transition</p> <p><input type="checkbox"/> Other: specify</p>	<p>Type of engagement</p> <p>Individual/ internal staff engagements</p> <p>Collaborative engagements</p> <p>Service provider engagements</p>	<p>Reason for interaction</p> <p><input checked="" type="checkbox"/> To support investment performance (e.g. climate transition)</p> <p><input checked="" type="checkbox"/> To enhance corporate transition or identify the need to transition</p> <p><input checked="" type="checkbox"/> To engage investor ESG issues</p> <p><input checked="" type="checkbox"/> To enhance company ESG disclosure</p> <p><input type="checkbox"/> Other: specify</p> <p><input type="checkbox"/> We do not engage via internal staff</p> <p><input type="checkbox"/> To support investment decision-making in ESG issues</p> <p><input type="checkbox"/> To enhance company transition or identify the need to transition</p> <p><input type="checkbox"/> Other: specify</p>

RI TRANSPARENCY REPORT

2020

University of the Arts London

About this report

The PRI Reporting Framework is a key step in the journey towards building a common language and industry standard for reporting responsible investment (RI) activities. This RI Transparency Report is one of the key outputs of this Framework. Its primary objective is to enable signatory transparency on RI activities and facilitate dialogue between investors and their clients, beneficiaries and other stakeholders. A copy of this report will be publicly disclosed for all reporting signatories on the [PRI website](#), ensuring accountability of the PRI Initiative and its signatories.

This report is an export of the individual Signatory organisation's response to the PRI during the reporting period specified above. It includes their responses to mandatory indicators, as well as responses to voluntary indicators the signatory has agreed to make public. The information is presented exactly as it was reported. Where an indicator offers a response option that is multiple-choice, all options that were available to the signatory to select are presented in this report. Presenting the information exactly as reported is a result of signatory feedback which suggested the PRI not summarise the information.

PRI disclaimer

This document presents information reported directly by signatories. This information has not been audited by the PRI Secretariat or any other party acting on their behalf. While this information is believed to be reliable, no representations or warranties are made as to the accuracy of the information presented, and no responsibility or liability can be accepted for any error or omission.

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OO 01	Mandatory	Gateway/Peering	General
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OO 01.1	Select the type that best describes your organisation or the services you provide.
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- Non-corporate pension or superannuation or retirement or provident fund or plan
- Corporate pension or superannuation or retirement or provident fund or plan
- Insurance company
- Foundation
- Endowment
- Development finance institution
- Reserve - sovereign or government controlled fund
- Family office
- Other, specify
Education

OO 01.3	Additional information. [Optional]
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The answers within this return relate solely to the University's endowment funds.

OO 02	Mandatory	Peering	General
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OO 02.1	Select the location of your organisation's headquarters.
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United Kingdom

OO 02.2	Indicate the number of countries in which you have offices (including your headquarters).
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- 1
- 2-5
- 6-10
- >10

OO 02.3	Indicate the approximate number of staff in your organisation in full-time equivalents (FTE).
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2735

OO 03	Mandatory	Descriptive	General
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OO 03.1	Indicate whether you have subsidiaries within your organisation that are also PRI signatories in their own right.
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- Yes
- No

OO 04	Mandatory	Gateway/Peering	General
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OO 04.1	Indicate the year end date for your reporting year.
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31/12/2019

OO 04.2	Indicate your total AUM at the end of your reporting year.
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Total AUM
5,245,696 GBP
6776351 USD

OO 05	Mandatory to Report, Voluntary to Disclose	Gateway	General
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OO 05.1	Provide an approximate percentage breakdown of your AUM at the end of your reporting year using the following asset classes and investment strategies:
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	Internally managed (%)	Externally managed (%)
Listed equity	0	81
Fixed income	0	0
Private equity	0	1
Property	0	2
Infrastructure	0	5
Commodities	0	0
Hedge funds	0	0

Fund of hedge funds	0	0
Forestry	0	0
Farmland	0	0
Inclusive finance	0	0
Cash	0	8
Money market instruments	0	0
Other (1), specify	0	3
Other (2), specify	0	0

Externally managed `Other (1)` description
Contractual and other income

OO 06 Mandatory Descriptive General

OO 06.1 Select how you would like to disclose your asset class mix.

- as percentage breakdown
- as broad ranges

OO 06.3 Indicate whether your organisation has any off-balance sheet assets [Optional].

- Yes
- No

OO 06.5 Indicate whether your organisation uses fiduciary managers.

- Yes, we use a fiduciary manager and our response to OO 5.1 is reflective of their management of our assets.
- No, we do not use fiduciary managers.

OO 08 Mandatory to Report, Voluntary to Disclose Peering General

Private

OO 09 Mandatory Peering General

OO 09.1 Indicate the breakdown of your organisation's AUM by market.

99

Developed Markets

1

Emerging Markets

0

Frontier Markets

0

Other Markets

OO 10 Mandatory Gateway General

OO 10.1 Select the active ownership activities your organisation implemented in the reporting year.

Listed equity – engagement

- We engage with companies on ESG factors via our staff, collaborations or service providers.
- We require our external managers to engage with companies on ESG factors on our behalf.
- We do not engage directly and do not require external managers to engage with companies on ESG factors.

Listed equity – voting

- We cast our (proxy) votes directly or via dedicated voting providers
- We require our external managers to vote on our behalf.
- We do not cast our (proxy) votes directly and do not require external managers to vote on our behalf

OO 11 Mandatory Gateway General

OO 11.2 Select the externally managed assets classes in which you and/or your investment consultants address ESG incorporation in your external manager selection, appointment and/or monitoring processes.

Asset class	ESG incorporation addressed in your external manager selection, appointment and/or monitoring processes
Listed equity	<p>Listed equity - ESG incorporation addressed in your external manager selection, appointment and/or monitoring processes</p> <p><input type="checkbox"/> We incorporate ESG into our external manager selection process</p> <p><input checked="" type="checkbox"/> We incorporate ESG into our external manager appointment process</p> <p><input type="checkbox"/> We incorporate ESG into our external manager monitoring process</p> <p><input type="checkbox"/> We do not do ESG incorporation</p>
Private equity	<p>Private equity - ESG incorporation addressed in your external manager selection, appointment and/or monitoring processes</p> <p><input type="checkbox"/> We incorporate ESG into our external manager selection process</p> <p><input checked="" type="checkbox"/> We incorporate ESG into our external manager appointment process</p> <p><input type="checkbox"/> We incorporate ESG into our external manager monitoring process</p> <p><input type="checkbox"/> We do not do ESG incorporation</p>
Property	<p>Property - ESG incorporation addressed in your external manager selection, appointment and/or monitoring processes</p> <p><input type="checkbox"/> We incorporate ESG into our external manager selection process</p> <p><input checked="" type="checkbox"/> We incorporate ESG into our external manager appointment process</p> <p><input type="checkbox"/> We incorporate ESG into our external manager monitoring process</p> <p><input type="checkbox"/> We do not do ESG incorporation</p>
Infrastructure	<p>Infrastructure - ESG incorporation addressed in your external manager selection, appointment and/or monitoring processes</p> <p><input type="checkbox"/> We incorporate ESG into our external manager selection process</p> <p><input checked="" type="checkbox"/> We incorporate ESG into our external manager appointment process</p> <p><input type="checkbox"/> We incorporate ESG into our external manager monitoring process</p> <p><input type="checkbox"/> We do not do ESG incorporation</p>
Cash	<p>Cash - ESG incorporation addressed in your external manager selection, appointment and/or monitoring processes</p> <p><input type="checkbox"/> We incorporate ESG into our external manager selection process</p> <p><input checked="" type="checkbox"/> We incorporate ESG into our external manager appointment process</p> <p><input type="checkbox"/> We incorporate ESG into our external manager monitoring process</p> <p><input type="checkbox"/> We do not do ESG incorporation</p>
Other (1)	<p>Other (1) - ESG incorporation addressed in your external manager selection, appointment and/or monitoring processes</p> <p><input type="checkbox"/> We incorporate ESG into our external manager selection process</p> <p><input checked="" type="checkbox"/> We incorporate ESG into our external manager appointment process</p> <p><input type="checkbox"/> We incorporate ESG into our external manager monitoring process</p> <p><input type="checkbox"/> We do not do ESG incorporation</p>

OO 11.4 Provide a brief description of how your organisation includes responsible investment considerations in your investment manager selection, appointment and monitoring processes.

The University invests its endowment funds with two fund managers in multi-asset pooled funds whose ethical investment policies are consistent with the University's desired approach. As part of the selection process, the University identified that the selected fund managers are signatories of the PRI and that each fund follows Responsible Investment Principles. A Responsible Investment Group is in place within the University to oversee these arrangements. During 2019 the group has continued to monitor and review the performance of our funds including a review of the funds' performance against the University's responsible investment criteria.

OO 12 Mandatory Gateway General

OO 12.1 Below are all applicable modules or sections you may report on. Those which are mandatory to report (asset classes representing 10% or more of your AUM) are already ticked and read-only. Those which are voluntary to report on can be opted into by ticking the box.

Core modules
<input checked="" type="checkbox"/> Organisational Overview <input checked="" type="checkbox"/> Strategy and Governance
RI implementation via external managers

Indirect - Selection, Appointment and Monitoring of External Managers			
<input checked="" type="checkbox"/> Listed Equities <input type="checkbox"/> Private Equity <input type="checkbox"/> Property <input type="checkbox"/> Infrastructure			
Closing module			
<input checked="" type="checkbox"/> Closing module			
OO SAM 01	Mandatory to Report, Voluntary to Disclose	Gateway	General
Private			
OO Checks			Checks
<input checked="" type="checkbox"/> If there are any messages below, please review them before continuing. If there are no messages below, please save this page and continue.			

SG 01.1 Indicate if you have an investment policy that covers your responsible investment approach.

Yes

SG 01.2 Indicate the components/types and coverage of your policy.

Policy components/types	Coverage by AUM
<input checked="" type="checkbox"/> Policy setting out your overall approach <input type="checkbox"/> Formalised guidelines on environmental factors <input type="checkbox"/> Formalised guidelines on social factors <input type="checkbox"/> Formalised guidelines on corporate governance factors <input type="checkbox"/> Fiduciary (or equivalent) duties <input type="checkbox"/> Asset class-specific RI guidelines <input type="checkbox"/> Sector specific RI guidelines <input type="checkbox"/> Screening / exclusions policy <input type="checkbox"/> Other, specify (1) <input type="checkbox"/> Other, specify(2)	<input checked="" type="checkbox"/> Applicable policies cover all AUM <input type="checkbox"/> Applicable policies cover a majority of AUM <input type="checkbox"/> Applicable policies cover a minority of AUM

SG 01.3 Indicate if the investment policy covers any of the following

- Your organisation's definition of ESG and/or responsible investment and it's relation to investments
- Your investment objectives that take ESG factors/real economy influence into account
- Time horizon of your investment
- Governance structure of organisational ESG responsibilities
- ESG incorporation approaches
- Active ownership approaches
- Reporting
- Climate change
- Understanding and incorporating client / beneficiary sustainability preferences
- Other RI considerations, specify (1)
- Other RI considerations, specify (2)

SG 01.4 Describe your organisation's investment principles and overall investment strategy, interpretation of fiduciary (or equivalent) duties, and how they consider ESG factors and real economy impact.

The University invests its endowment funds with two fund managers in multi-asset pooled funds whose ethical investment policies are consistent with the University's desired approach. As part of the selection process, the University identified that the selected fund managers are signatories of the PRI and that each fund follows Responsible Investment Principles. A Responsible Investment Group is in place within the University to oversee these arrangements. During 2019 the group has continued to monitor and review the performance of our funds including a review of the funds' performance against the University's responsible investment criteria.

No

Private

SG 02.1 Indicate which of your investment policy documents (if any) are publicly available. Provide a URL and an attachment of the document.

Policy setting out your overall approach

URL/Attachment

- URL
<https://www.arts.ac.uk/about-ual/sustainability#ethical-investment>
- Attachment (will be made public)

We do not publicly disclose our investment policy documents

SG 02.2 Indicate if any of your investment policy components are publicly available. Provide URL and an attachment of the document.

Your organisation's definition of ESG and/or responsible investment and it's relation to investments

URL/Attachment

- URL
<https://www.arts.ac.uk/about-ual/sustainability#ethical-investment>
- Attachment

- Reporting
- We do not publicly disclose any investment policy components

SG 02.3 Additional information [Optional].

Certain amount of information is available on the websites of our fund managers.

SG 03 Mandatory Core Assessed General

SG 03.1 Indicate if your organisation has a policy on managing potential conflicts of interest in the investment process.

Yes

SG 03.2 Describe your policy on managing potential conflicts of interest in the investment process.

A Responsible Investment Policy and Group is in place and we are continuing to develop our processes to monitor and review the performance of our funds.

No

SG 04 Voluntary Descriptive General

Private

SG 05 Mandatory Gateway/Core Assessed General

SG 05.1 Indicate if and how frequently your organisation sets and reviews objectives for its responsible investment activities.

- Quarterly or more frequently
- Biannually
- Annually
- Less frequently than annually
- Ad-hoc basis
- It is not set/reviewed

SG 07 Mandatory Core Assessed General

SG 07.1 Indicate the internal and/or external roles used by your organisation, and indicate for each whether they have oversight and/or implementation responsibilities for responsible investment.

Roles

- Board members or trustees
 - Oversight/accountability for responsible investment
 - Implementation of responsible investment
 - No oversight/accountability or implementation responsibility for responsible investment
- Internal Roles (triggers other options)

Select from the below internal roles

- Chief Executive Officer (CEO), Chief Investment Officer (CIO), Chief Operating Officer (COO), Investment Committee
 - Oversight/accountability for responsible investment
 - Implementation of responsible investment
 - No oversight/accountability or implementation responsibility for responsible investment
- Other Chief-level staff or head of department, specify
- Portfolio managers
- Investment analysts
- Dedicated responsible investment staff
- Investor relations
- Other role, specify (1)
- Other role, specify (2)

- External managers or service providers
 - Oversight/accountability for responsible investment
 - Implementation of responsible investment
 - No oversight/accountability or implementation responsibility for responsible investment

SG 07.2 For the roles for which you have RI oversight/accountability or implementation responsibilities, indicate how you execute these responsibilities.

The University invests its endowment funds with two fund managers in multi-asset pooled funds whose ethical investment policies are consistent with the University's desired approach. As part of the selection process, the University identified that the selected fund managers are signatories of the PRI and that each fund follows Responsible Investment Principles. A Responsible Investment Group is in place within the University to oversee these arrangements. During 2019 the group has continued to monitor and review the performance of our funds including a review of the funds' performance against the

University's responsible investment criteria.

SG 07.3	Indicate the number of dedicated responsible investment staff your organisation has.
	0

SG 07 CC	Mandatory to Report, Voluntary to Disclose	Descriptive	General
	Private		

SG 08	Voluntary	Additional Assessed	General
	Private		

SG 09	Mandatory	Core Assessed	PRI 4,5
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SG 09.1	Select the collaborative organisation and/or initiatives of which your organisation is a member or in which it participated during the reporting year, and the role you played.
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Principles for Responsible Investment

	Your organisation's role in the initiative during the reporting period (see definitions)
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- Basic
- Asian Corporate Governance Association
 - Australian Council of Superannuation Investors
 - AVCA: Sustainability Committee
 - France Invest – La Commission ESG
 - BVCA – Responsible Investment Advisory Board
 - CDP Climate Change
 - CDP Forests
 - CDP Water
 - CFA Institute Centre for Financial Market Integrity
 - Climate Action 100+
 - Code for Responsible Investment in SA (CRISA)
 - Council of Institutional Investors (CII)
 - Eumedion
 - Extractive Industries Transparency Initiative (EITI)
 - ESG Research Australia
 - Invest Europe Responsible Investment Roundtable
 - Global Investors Governance Network (GIGN)
 - Global Impact Investing Network (GIIN)
 - Global Real Estate Sustainability Benchmark (GRESB)
 - Green Bond Principles
 - HKVCA: ESG Committee
 - Institutional Investors Group on Climate Change (IIGCC)
 - Interfaith Center on Corporate Responsibility (ICCR)
 - International Corporate Governance Network (ICGN)
 - Investor Group on Climate Change, Australia/New Zealand (IGCC)
 - International Integrated Reporting Council (IIRC)
 - Investor Network on Climate Risk (INCR)/CERES
 - Local Authority Pension Fund Forum
 - Principles for Financial Action in the 21st Century
 - Principles for Sustainable Insurance
 - Regional or National Social Investment Forums (e.g. UKSIF, Eurosif, ASRIA, RIAA), specify
 - Responsible Finance Principles in Inclusive Finance
 - Shareholder Association for Research and Education (Share)
 - United Nations Environmental Program Finance Initiative (UNEP FI)
 - United Nations Global Compact
 - Other collaborative organisation/initiative, specify
 - Other collaborative organisation/initiative, specify
 - Other collaborative organisation/initiative, specify
 - Other collaborative organisation/initiative, specify

SG 09.2	Mandatory to Report, Voluntary to Disclose	Descriptive	PRI 1
	Private		

SG 10	Mandatory	Core Assessed	PRI 4						
SG 10.1	Indicate if your organisation promotes responsible investment, independently of collaborative initiatives.								
	<input type="radio"/> Yes <input checked="" type="radio"/> No								
SG 12	Mandatory	Core Assessed	PRI 4						
SG 12.1	Indicate whether your organisation uses investment consultants.								
	<input type="checkbox"/> Yes, we use investment consultants <input checked="" type="checkbox"/> No, we do not use investment consultants.								
SG 12.5	Indicate whether your organisation considers any of the following responsible investment factors in the monitoring of fiduciary managers								
	<input type="checkbox"/> Including responsible investment as a standard agenda item at performance review meetings <input type="checkbox"/> Discussing whether the fiduciary manager has acted in accordance with your organisation's overall investment beliefs/ strategy/ policy on responsible investment and ESG factors <input checked="" type="checkbox"/> Reviewing the fiduciary manager's PRI Transparency or Assessment reports <input checked="" type="checkbox"/> Reviewing the fiduciary manager's responsible investment reporting (excluding PRI generated reports) <input type="checkbox"/> Reviewing ESG characteristics/factors used by the fiduciary manager in portfolio construction <input type="checkbox"/> Reviewing the fiduciary manager's incorporation approaches of ESG through-out asset classes <input checked="" type="checkbox"/> Reviewing the impact of ESG factors on financial performance <input type="checkbox"/> Encouraging your fiduciary managers to consider joining responsible investment initiatives/organisations or participate in educational or collaborative projects with other investors <input type="checkbox"/> Including responsible investment criteria as a formal component of overall manager performance evaluation <input type="checkbox"/> Reviewing the fiduciary manager's ESG incorporation in external managers' selection, appointment, monitoring <input type="checkbox"/> Reviewing how ESG materiality is defined by the fiduciary manager <input type="checkbox"/> Other general aspects of your monitoring; specify <input type="checkbox"/> We do not consider responsible investment in the monitoring processes for fiduciary managers.								
SG 13	Mandatory	Descriptive	PRI 1						
SG 13.1	Indicate whether the organisation carries out scenario analysis and/or modelling, and if it does, provide a description of the scenario analysis (by asset class, sector, strategic asset allocation, etc.).								
	<input type="checkbox"/> Yes, in order to assess future ESG factors <input type="checkbox"/> Yes, in order to assess future climate-related risks and opportunities <input checked="" type="checkbox"/> No, our organisation does not currently carry out scenario analysis and/or modelling								
SG 14	Mandatory to Report, Voluntary to Disclose	Additional Assessed	PRI 1						
	Private								
SG 15	Mandatory to Report, Voluntary to Disclose	Descriptive	PRI 1						
	Private								
SG 17	Mandatory	Descriptive	General						
SG 17.1	Describe how you address ESG issues for externally managed assets for which a specific PRI asset class module has yet to be developed or for which you are not required to report because your assets are below the minimum threshold.								
	<table border="1"> <thead> <tr> <th>Asset Class</th> <th>Describe what processes are in place and the outputs or outcomes achieved</th> </tr> </thead> <tbody> <tr> <td>Private equity</td> <td>The University invests its endowment funds with two fund managers in multi-asset pooled funds whose ethical investment policies are consistent with the University's desired approach. As part of the selection process, the University identified that the selected fund managers are signatories of the PRI and that each fund follows Responsible Investment Principles. A Responsible Investment Group is in place within the University to oversee these arrangements. During 2019 the group has continued to monitor and review the performance of our funds including a review of the funds' performance against the University's responsible investment criteria.</td> </tr> <tr> <td>Property</td> <td>The University invests its endowment funds with two fund managers in multi-asset pooled funds whose ethical investment policies are consistent with the University's desired approach. As part of the selection process, the University identified that the selected fund managers are signatories of the PRI and that each fund follows Responsible Investment Principles. A Responsible Investment Group is in place within the University to oversee these arrangements. During 2019 the group has continued to monitor and review the performance of our funds including a review of the funds' performance against the University's responsible investment criteria.</td> </tr> </tbody> </table>			Asset Class	Describe what processes are in place and the outputs or outcomes achieved	Private equity	The University invests its endowment funds with two fund managers in multi-asset pooled funds whose ethical investment policies are consistent with the University's desired approach. As part of the selection process, the University identified that the selected fund managers are signatories of the PRI and that each fund follows Responsible Investment Principles. A Responsible Investment Group is in place within the University to oversee these arrangements. During 2019 the group has continued to monitor and review the performance of our funds including a review of the funds' performance against the University's responsible investment criteria.	Property	The University invests its endowment funds with two fund managers in multi-asset pooled funds whose ethical investment policies are consistent with the University's desired approach. As part of the selection process, the University identified that the selected fund managers are signatories of the PRI and that each fund follows Responsible Investment Principles. A Responsible Investment Group is in place within the University to oversee these arrangements. During 2019 the group has continued to monitor and review the performance of our funds including a review of the funds' performance against the University's responsible investment criteria.
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Infrastructure	The University invests its endowment funds with two fund managers in multi-asset pooled funds whose ethical investment policies are consistent with the University's desired approach. As part of the selection process, the University identified that the selected fund managers are signatories of the PRI and that each fund follows Responsible Investment Principles. A Responsible Investment Group is in place within the University to oversee these arrangements. During 2019 the group has continued to monitor and review the performance of our funds including a review of the funds' performance against the University's responsible investment criteria.
Cash	The University invests its endowment funds with two fund managers in multi-asset pooled funds whose ethical investment policies are consistent with the University's desired approach. As part of the selection process, the University identified that the selected fund managers are signatories of the PRI and that each fund follows Responsible Investment Principles. A Responsible Investment Group is in place within the University to oversee these arrangements. During 2019 the group has continued to monitor and review the performance of our funds including a review of the funds' performance against the University's responsible investment criteria.
Other (1) [as defined in Organisational Overview module]	The University invests its endowment funds with two fund managers in multi-asset pooled funds whose ethical investment policies are consistent with the University's desired approach. As part of the selection process, the University identified that the selected fund managers are signatories of the PRI and that each fund follows Responsible Investment Principles. A Responsible Investment Group is in place within the University to oversee these arrangements. During 2019 the group has continued to monitor and review the performance of our funds including a review of the funds' performance against the University's responsible investment criteria.

SG 18	Voluntary	Descriptive	General
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Private

SG 19	Mandatory	Core Assessed	PRI 2, 6
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SG 19.1 Indicate whether your organisation typically discloses asset class specific information proactively. Select the frequency of the disclosure to clients/beneficiaries and the public, and provide a URL to the public information.

Selection, Appointment and Monitoring

Do you disclose?

- We do not disclose to either clients/beneficiaries or the public.
- We disclose to clients/beneficiaries only.
- We disclose to the public

SG Checks			Checks
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If there are any messages below, please review them before continuing. If there are no messages below, please save this page and continue.

SAM 01	Mandatory	Gateway	PRI 1										
SAM 01.1	Indicate which of the following ESG incorporation strategies you require your external manager(s) to implement on your behalf for all your listed equity and/or fixed income assets:												
	Passive investment strategies												
	<table border="1"> <thead> <tr> <th>Passive investment strategies</th> <th>Listed Equity</th> </tr> </thead> <tbody> <tr> <td>Screening</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Thematic</td> <td><input type="checkbox"/></td> </tr> <tr> <td>Integration</td> <td><input type="checkbox"/></td> </tr> <tr> <td>None of the above</td> <td><input checked="" type="checkbox"/></td> </tr> </tbody> </table>	Passive investment strategies	Listed Equity	Screening	<input type="checkbox"/>	Thematic	<input type="checkbox"/>	Integration	<input type="checkbox"/>	None of the above	<input checked="" type="checkbox"/>		
Passive investment strategies	Listed Equity												
Screening	<input type="checkbox"/>												
Thematic	<input type="checkbox"/>												
Integration	<input type="checkbox"/>												
None of the above	<input checked="" type="checkbox"/>												
SAM 01.2	Additional information. [Optional]												
	<p>The University invests its endowment funds with two fund managers in multi-asset pooled funds whose ethical investment policies are consistent with the University's desired approach. As part of the selection process, the University identified that the selected fund managers are signatories of the PRI and that each fund follows Responsible Investment Principles. A Responsible Investment Group is in place within the University to oversee these arrangements. During 2019 the group has continued to monitor and review the performance of our funds including a review of the funds' performance against the University's responsible investment criteria.</p>												

SAM 04	Mandatory	Core Assessed	PRI 1
SAM 04.1	Indicate if in the majority of cases and where the structure of the product allows, your organisation does any of the following as part of the manager appointment and/or commitment process		
	<input type="checkbox"/> Sets standard benchmarks or ESG benchmarks <input type="checkbox"/> Defines ESG objectives and/ or ESG related exclusions/restrictions <input type="checkbox"/> Sets incentives and controls linked to the ESG objectives <input type="checkbox"/> Requires reporting on ESG objectives <input type="checkbox"/> Requires the investment manager to adhere to ESG guidelines, regulations, principles or standards <input type="checkbox"/> Other, specify (1) <input checked="" type="checkbox"/> Other, specify (2)		
	specify	<input type="checkbox"/> None of the above	
		<p>Our funds are managed externally by the investment managers who submit PRI returns annually and we assess the annually against our ESG guidelines.</p>	
SAM 04.3	Indicate which of these actions your organisation might take if any of the requirements are not met		
	<input type="checkbox"/> Discuss requirements not met and set project plan to rectify <input type="checkbox"/> Place investment manager on a "watch list" <input type="checkbox"/> Track and investigate reason for non-compliance <input type="checkbox"/> Re-negotiate fees <input checked="" type="checkbox"/> Failing all actions, terminate contract with the manager <input type="checkbox"/> Other, specify <input type="checkbox"/> No actions are taken if any of the ESG requirements are not met		

SAM 08	Mandatory to Report, Voluntary to Disclose	Descriptive	PRI 1
	Private		

SAM 09	Mandatory	Additional Assessed	PRI 1,6
SAM 09.1	Provide examples of how ESG issues have been addressed in the manager selection, appointment and/or monitoring process for your organisation during the reporting year.		
	<input type="checkbox"/> Add Example 1 <input type="checkbox"/> Add Example 2 <input type="checkbox"/> Add Example 3 <input type="checkbox"/> Add Example 4 <input type="checkbox"/> Add Example 5 <input type="checkbox"/> Add Example 6 <input type="checkbox"/> Add Example 7 <input checked="" type="checkbox"/> We are not able to provide examples		

If there are any messages below, please review them before continuing. If there are no messages below, please save this page and continue.

CM1 01	Mandatory	Additional Assessed	General				
CM1 01.1	Indicate whether the reported information you have provided for your PRI Transparency Report this year has undergone:						
<input type="checkbox"/> Third party assurance over selected responses from this year's PRI Transparency Report <input type="checkbox"/> Third party assurance over data points from other sources that have subsequently been used in your PRI responses this year <input type="checkbox"/> Third party assurance or audit of the correct implementation of RI processes (that have been reported to the PRI this year) <input type="checkbox"/> Internal audit of the correct implementation of RI processes and/or accuracy of RI data (that have been reported to the PRI this year) <input checked="" type="checkbox"/> Internal verification of responses before submission to the PRI (e.g. by the CEO or the board) <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Whole PRI Transparency Report has been internally verified <input type="checkbox"/> Selected data has been internally verified <input type="checkbox"/> Other, specify <input type="checkbox"/> None of the above							
CM1 02	Mandatory	Descriptive	General				
CM1 02.1	We undertook third party assurance on last year's PRI Transparency Report						
<input type="radio"/> Whole PRI Transparency Report was assured last year <input type="radio"/> Selected data was assured in last year's PRI Transparency Report <input checked="" type="radio"/> We did not assure last year's PRI Transparency report <input type="radio"/> None of the above, we were in our preparation year and did not report last year.							
CM1 03	Mandatory	Descriptive	General				
CM1 03.1	We undertake confidence building measures that are unspecific to the data contained in our PRI Transparency Report:						
<input type="checkbox"/> We adhere to an RI certification or labelling scheme <input type="checkbox"/> We carry out independent/third party assurance over a whole public report (such as a sustainability report) extracts of which are included in this year's PRI Transparency Report <input type="checkbox"/> ESG audit of holdings <input type="checkbox"/> Other, specify <input checked="" type="checkbox"/> None of the above							
CM1 04	Mandatory	Descriptive	General				
CM1 04.1	Do you plan to conduct third party assurance of this year's PRI Transparency report?						
<input type="radio"/> Whole PRI Transparency Report will be assured <input type="radio"/> Selected data will be assured <input checked="" type="radio"/> We do not plan to assure this year's PRI Transparency report							
CM1 07	Mandatory	Descriptive	General				
CM1 07.1	Indicate who has reviewed/verified internally the whole - or selected data of the - PRI Transparency Report . and if this applies to selected data please specify what data was reviewed						
<input checked="" type="checkbox"/> CEO or other Chief-Level staff <table border="1" style="margin-left: 20px;"> <tr> <td>Sign-off or review of responses</td> </tr> <tr> <td> <input type="checkbox"/> Sign-off <input checked="" type="checkbox"/> Review of responses </td> </tr> </table> <input type="checkbox"/> The Board <input type="checkbox"/> Investment Committee <input type="checkbox"/> Compliance Function <input checked="" type="checkbox"/> RI/ESG Team <input type="checkbox"/> Investment Teams <input type="checkbox"/> Legal Department <input checked="" type="checkbox"/> Other (specify) <table border="1" style="margin-left: 20px;"> <tr> <td>specify</td> </tr> <tr> <td>Responsible Investment Group conducts a review of the responses</td> </tr> </table>				Sign-off or review of responses	<input type="checkbox"/> Sign-off <input checked="" type="checkbox"/> Review of responses	specify	Responsible Investment Group conducts a review of the responses
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