

ISO 14001:2015 RECERTIFICATION REPORT

Lucideon report number: TW 20210726

Company name: University of Arts London

Company address: 1 Granary Square
Kings Cross
London
United Kingdom

Company Representative: Rebecca Smart – Energy & Major Projects Support Officer

Start date of visit: 27 July 2021

Purchase Order: 3108517

Visit duration: 2 Day(s)

AUDIT TEAM



Tim Watts CMIOSH

IEMA - EMS 2015 Lead Auditor / Environmental Assessor. IRCA - QMS 2015 Principal Auditor. ISO 50001 Lead Auditor. – HSMS ISO 45001 Lead Auditor.

AUDIT SCOPE & OBJECTIVES

Objectives

The re-certification of the last 3 years of certification will evaluate the ongoing effectiveness of the Environmental Management System and its continued fulfilment of all of the standard requirements.

The re-certification will include an evaluation of the management systems relevance and applicability for the certificate scope as well as performance over the 3 year cycle.

The audit will also identify any areas of potential improvement to your management system, as appropriate to the audit scope.

Existing Scope

*The Environmental Management System of the University of Arts London;
Administration services, Management of buildings and estates management activities*

The scope excludes facilities not owned or controlled by the University of Arts London

This scope was reviewed during this audit and found to be justifiable, there are no foreseen changes at this time.

Recommended Scope

No change required.

Standard – Audit Criteria

ISO 14001:2015

Site location

University of Arts London.
1 Granary Square
Kings Cross
London
United Kingdom

Site Review

During this audit Millbank, Holborn and Kings Cross locations were visited to evaluate the effective implementation of the environmental management system.

The audit included tours with the Facilities Managers of the general premises and the waste management areas. Waste management was seen to be an area that has improved over the years. It remains one of the most significant aspects to be managed.

AUDIT CONCLUSION

Summary and certification status

During the assessment one nonconformity was raised.

Continued certification is recommended.

The audit plan & objectives have been achieved and the certificate scope remains appropriate.

TRENDS IDENTIFIED

During the previous certification cycle, there have been a number of nonconformities raised. Actions identified have been taken and upon review and evaluation have been found to have been effectively implemented.

No negative trend has been identified.

The business has managed internal and external changes satisfactorily to maintain continued relevance of the environmental management system to its certificate scope. Over this 3 year certification cycle the environmental management system has been seen to contribute to the effective achievement of the UAL's Environmental Policy & Objectives.

A commitment to maintain and continually improve the environmental management system has been demonstrated over 3 year cycle.

All observations raised in the past have been addressed effectively and corrective action has been assessed as being effective.

Follow up action required

In response to any nonconformity raised you are required to prepare, document and implement a correction and corrective action plan. Each finding must be investigated to identify any root causes or underlying trends. Appropriate action must be taken to eliminate the cause of any nonconformity in order to prevent reoccurrence. The plan must define timely action, timescales and responsibilities.

This plan must be submitted to us within 28 days. It can be posted, faxed or emailed. Please use email where possible using client.response@lucideon.com (Do not email directly to your auditor).

Failure to adequately implement the planned action may result in the raising of a major nonconformity putting the status of the certification in jeopardy.

A response to any opportunity for improvement raised is optional.

AUDIT FINDINGS**Nonconformities identified during last visit – (TW 20201201)**

There was no corrective and preventive action required following the last audit.

There are no outstanding nonconformities from previous audits.

Nonconformities identified during this visit – One**TW01 – Operational:**

The standard requires that planned changes are controlled and reviewed for consequences, taking action to mitigate any adverse effects.

During the audit a number of Design Stage Sustainability Audit Checklist were not available. These are required for projects identified as having a potential effect on the sustainability performance of UAL.

Examples of unavailable checklists;

- HH 2102 – Office Conversion
- EC2101.02 - Refurbish Film & TV Cinema
- EC2102.22 - Convert staff office – demolition

NONCONFORMITY - ISO 14001;2015 Clause 8.1

The assessment was based on sampling and therefore nonconformities may exist which have not been identified.

ASSESSMENT COMMENTS & OPPORTUNITIES FOR IMPROVEMENT

ASSESSMENT COMMENTS

During this audit the UAL management teams at the locations visited demonstrated the continuing implementation of the Environmental Management System. The EMS was seen to be fit for the client's purpose, assisting in continual improvement and controlling the environmental aspects and impacts identified on site.

Monitoring performance was seen to be well managed, covering waste, water and energy used. Evaluation of compliance was seen to be managed with details of the compliance status being known for all of the identify legal and other requirements'

UAL has determined the environmental aspects of its activities and services that it can control and those that it can influence, and their associated environmental impacts taking into consideration a life cycle perspective.

The assessment demonstrated a high level of implementation of the processes and monitoring and measurement activities, ensuring that the planned arrangements are achieved. Management review and internal audits continue to be carried out to a high standard.

There is an established and effective internal audit and management review process that is managed by the central sustainability team. The records for these activities were suitably detailed and actions were clearly identified as required.

The audit schedule has been satisfactory maintained and a significant number of the site visits have been completed in line with the Summer 2021 schedule. The audit report is detailed and includes a good level of audit eviednace.

There have been no environmental complaints received in the review period.

There are now a new set of SMART Objective. These are in support of the Net Zero by 2040 commitment made by UAL. A number of the objectives are focused on monitoring and analysis at this time, with actions to be identified to ensure progress is made towards the 2040 goal.

There have been no environmental incidents at any of the locations audited durring this audit.

There are a number of continual improvement activities seen, these include improved management system processes and more effective delivery. Examples are the internal audit reports, waste management improvements and new challenging SMART Targets.

OPPORTUNITIES FOR IMPROVEMENT

OFI/01 – Waste Management:

A number of Design Stage Sustainability Audit Checklists were evaluated during this audit.

There is an opportunity to improve the checklist by considering adding direct questions on waste management for the project. This would potentially support the reduction of waste objective allowing waste to be considered at the design stage.

It is also an opportunity to highlight any waste management strategy/responsibility for projects, to help prevent contractors using UAL Facilities skips incorrectly. As seen at Mill Bank, contractors electrical waste in the general waste skips.

Thanks to the company and its people for their time and cooperation during the audit.

MISCELLANEOUS

Changes to your management system

You must not carry out significant changes to the Management System, without first confirming with your auditor that the proposed changes are acceptable.

Report distribution

The report will be distributed to the company representative, the Lead Auditor and the Business Support Team (for archiving), unless otherwise agreed.

Report confidentiality

This report will not be disclosed to any third party by us. You are free to circulate it as required, however, if this report is circulated to a third party the entire content (excluding audit trail) must be included.

NEXT VISIT

Having completed the re-certification of your management system it is recommended that your next 3 year assessment cycle will remain unchanged at

2 days per year due in March 2022. The 2024 recertification audit will be planned for February 2024 to allow for the processing of the recertification prior to certificate expiry.

An Audit programme including an agreed outlined plan for your next assessment visit and a summary of previous assessments in the certification cycle is attached to/included in this report. Further details as appropriate will be confirmed prior to your next assessment visit.

Next visit plan

Date	Time	Auditor	Area / Department / Process / Function
<p>Note the following site have been identified as a representative sample for this audit. The audit will be completed with the EnMS Audit so site visits will address both standards. There is a separate audit plan for the EnMS Audit. Elephant and Castle, Lime Grove, Merton Hall, Peckham Road and Wilson Road</p>			
March 2022	8.45		Arrive On Site <i>On site meeting and greeting</i>
	09.00		Opening Meeting & Management System Administration <i>Management System Manual / Policy / Risks and Opportunities / Incidents</i>
			Tour of a sample of campus locations To be agreed prior to the audit from the list above. Some will be covered on the EnMS Audit Plan.
			Lunch
			Internal audit & Management Review
			Objectives & Targets
	16.00		Auditor / Report Preparation Time <i>The close out & follow up on any outstanding details picked up during the audit</i>
	16.30		End of day review
Day 2			
March 2022	8.45		Arrive on site
			Competence, awareness and training
			Performance evaluation Legal compliance / Monitoring & Measuring, analysis
			Lunch
			Aspects and Impacts assessment
	15.15		Use of the UKAS logo
	15.30		Auditor / Report Preparation Time <i>The close out & follow up on any outstanding details picked up during the audit</i>
	16.30		Closing Meeting

Notes to Client:

- Times are approximate and will be confirmed at the opening meeting prior to commencement of the audit.
- Our auditors reserve the right to change or add to the elements listed before or during the audit depending on the results of on-site investigation
- Your contract with us is an integral part of this audit plan and details confidentiality arrangements, audit scope, information on follow up activities and any special reporting requirements.

End of Report